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> REC'D 2 4 AUG 2004 WIPO

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#### atents Form 1/77

Patents Act 1977 (Rule 16) Patent Office 25,70 E825263-3 072944 P01,7700 0-73-0317383.7

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form) THE PATENT OFFICE

2 5 JUL 2003

NEWPORT

The Patent Office

Cardiff Road Newport Gwent NP9 1RH

Gwent NP9 1RH Your reference SMC 60613/GB/P1 2. Patent application number (The Patent Office will fill in this part) 25 701 2003 0317393.7 3. Full name, address and postcode of the or of Avecia Limited each applicant (underline all surnames) **Hexagon House** Blackley Manchester, M9 8ZS Patents ADP number (if you know it) 07764137001 If the applicant is a corporate body, give the country/state of its incorporation **United Kingdom** Title of the invention PROCESS AND COMPOUNDS Name of your agent (If you bave one) REVELL, Christopher "Address for service" in the United Kingdom to which all correspondence should be sent Avecia Limited (including the postcode) Hexagon House PO Box 42 Blackley Manchester M9 8ZS Patents ADP number (if you know it) 6969885001 If you are declaring priority from one or more Country Priority application number Date of filing earlier patent applications, give the country (if you know it) (day / month / year) and the date of filing of the or of each of these earlier applications and (If you know it) the or each application number If this application is divided or otherwise Number of earlier application Date of filing derived from an earlier UK application, (day / month / year) give the number and the filing date of the earlier application

- 8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer Yes' if:
  - a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body. See note (d))

# <u>APPLICANTS</u>

# **AVECIA LIMITED**

TITLE

PROCESS AND COMPOUNDS

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#### PROCESS AND COMPOUNDS

The present invention concerns a process and intermediate compounds useful in the preparation of statins, particularly atorvastatin.

According to the present invention, there is provided a process for the preparation of a compound of formula (7):

$$R^2$$
 $R^3$ 
 $OH$ 
 $OH$ 
 $OH$ 
 $OH$ 
 $OH$ 

wherein

 $R^1$  represents an alkyl group, such as a  $C_{1-8}$  alkyl group, and preferably an isopropyl group;

10 R<sup>2</sup> represents an aryl group, preferably a phenyl group

R³ represents an aryl group, preferably a 4-fluorophenyl group

X represents a group of formula –COZ, wherein Z represents -OR<sup>4</sup>, in which R<sup>4</sup> represents an alkyl, preferably a methyl or ethyl, group, or –NR<sup>5</sup>R<sup>6</sup>, wherein R<sup>5</sup> and R<sup>6</sup> each independently represent H, alkyl, or aryl, and preferably R<sup>5</sup> is H and R<sup>6</sup> is phenyl

15 which comprises

a) cyanating a compound of formula (1):

wherein Y represents a halo group, preferably CI or Br; P<sup>1</sup> represents a protecting group, and W represents =O or -OP<sup>2</sup>, in which P<sup>2</sup> represents a protecting group, to give a compound of formula (2):

b) reducing the compound of formula (2) to give a compound of formula (3):

c) coupling the compound of formula (3) with a compound of formula (4):

$$X \xrightarrow{R^1} O$$
 $R^2 \xrightarrow{R^2} O$ 

to give a compound of formula (5):

$$X$$
 $R^1$ 
 $OP^1$ 
 $R^2$ 
 $R^3$ 

d) when W represents -OP<sup>2</sup>, deprotecting and then oxidising the compound of formula (5) to give a compound of formula (6):

$$X$$
 $R^1$ 
 $OH$ 
 $OH$ 
 $R^2$ 
 $R^3$ 

and

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e) subjecting the compound of formula (5) when W represents =0, or compound of formula (6) to ring-opening, and removal of any remaining protecting groups, to give a compound of formula (7):

$$X$$
 $R^1$ 
 $OH$ 
 $OH$ 
 $OH$ 
 $OH$ 

Protecting groups which may be represented by P<sup>1</sup> and P<sup>2</sup> include alcohol protecting groups, examples of which are well known in the art. Particular examples include tetrahydropyranyl and benzyl groups. Preferred protecting groups are silyl groups, for example triaryl- and especially trialkylsilyl groups. Especially preferred examples are trimethylsilyl and t-butyldimethylsilyl groups.

Cyanation of compounds of formula (1) can be achieved by methods known in the art for displacing a halo group with a cyanide. Preferably, the process comprises contacting the compound of formula (1) with a source of cyanide. Preferred sources of cyanide include cyanide salts, especially ammonium or alkali metal cyanides, particularly sodium or potassium cyanide. A particularly preferred process comprises contacting the compound of formula (1) with 5 molar equivalents of KCN in the presence of dimethylsulfoxide solvent at a temperature of, for example, about 50°C.

Reduction of compounds of formula (2) can be achieved using reduction systems known in the art for the reduction of nitrile groups. Preferred examples include reduction

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with Raney nickel or with hydrogen in the presence of a catalyst, such as palladium on carbon. When palladium on carbon catalysed hydrogenation is employed, preferred conditions comprise the use of methanol solvent at elevated temperature, such as about 40°C, in the presence of from about 0.01 to 100 molar equivalents of ammonia.

The coupling of the compound of formula (3) with the compound of formula (4) may employ conditions analogous to those given in WO89/07598 for the corresponding coupling. The conditions preferably comprise refluxing the compounds of formula (3) and (4) in a hydrocarbon solvent, such as toluene or cyclohexane, or mixtures thereof, followed by contact with aqueous acid, such as aqueous HCI.

When W represents OP<sup>2</sup>, the protecting group may be removed to form a hydroxy group by methods known in the art for the removal of the given protecting group. For example, silyl protecting groups may be removed by contact with a source of fluoride ion, such as tetrabutylammonium fluoride.

Oxidation of compounds formed by deprotection of compounds wherein W represents –OP<sup>2</sup> may employ conditions known in the art for the oxidation of pyranols to pyranones, and include those given in "Comprehensive Organic Transformations", R.C. Larock, 2<sup>nd</sup> Ed (1999) p 1670, published by Wiley VCH, incorporated herein by reference. Preferred oxidation systems include Ag<sub>2</sub>CO<sub>3</sub>/Celite, especially Celite J2, or bromine.

Ring opening of the compounds of formula (5), when W represent =O or formula (6) may employ conditions known in the art for ring opening of a pyranone. Preferably, the ring is opened by contact with a base, such as sodium hydroxide. Methanol is conveniently employed as solvent.

Remaining protecting groups may be removed by methods known in the art for the removal of the given protecting group. For example, silyl protecting groups may be removed by contact with a source of fluoride ion, such as tetrabutylammonium fluoride.

It will be recognised that when X represents a group of formula -COOR<sup>4</sup>, this may be converted to a group wherein X represents -CONR<sup>5</sup>R<sup>6</sup> at any stage during the process, for example by reaction of the corresponding compounds of formulae (2), (3), (4), (5), (6) or (7) with a compound of formula HNR<sup>5</sup>R<sup>6</sup>.

It will also be recognised that compounds of formulae (2), (3) and (4) may also be subjected to oxidation (when W represents –OH) or deprotection and oxidation (when W represents (-O-protecting group) to form the corresponding compound wherein W represents =O.

Preferred compounds of formula (1) are compounds of formula:

wherein W, P<sup>1</sup> and Y are as previously described.

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Preferred compounds of formula (2) are compounds of formula:

wherein W and P1 are as previously described.

Preferred compounds of formula (3) are compounds of formula:

wherein W and P1 are as previously described.

Preferred compounds of formula (5) are of formula:

$$X \xrightarrow{R^1} O^{P^1}$$
 $R^2 \xrightarrow{R^3} O^{P^1}$ 

wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, W, X and P<sup>1</sup> are as previously described.

Preferred compounds of formula (6) are of formula:

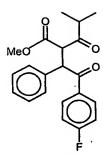
wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and X are as previously described.

Preferred compounds of formula (7) are of formula:

wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and X are as previously described.

Compounds of formula (7) are advantageously converted to pharmaceutically acceptable salts, especially their calcium salts.

Compounds of formula (4) are advantageously prepared by the methods given in J. Med. Chem., 1991, **34**, pp357-366. Particularly preferred compounds of formula (4) are compounds of formula:



Compounds of formula (1) are advantageously prepared by enzyme catalysed condensation of acetaldehyde and 2-haloacetaldehyde, for example using the method given in US patent 5,795,749.

Compounds of formulae (2) and (3) and, when W is OP<sup>2</sup>, formula (5) form further aspects of the present invention.

#### CLAIMS

1. A process for the preparation of a compound of formula (7):

$$X$$
 $R^1$ 
 $OH$ 
 $OH$ 
 $OH$ 
 $OH$ 

5 wherein

 $R^1$  represents an alkyl group, such as a  $C_{1-8}$  alkyl group, and preferably an isopropyl group;

R<sup>2</sup> represents an aryl group, preferably a phenyl group

R<sup>3</sup> represents an aryl group, preferably a 4-fluorophenyl group

X a group of formula –COZ, wherein Z represents -OR<sup>4</sup>, in which R<sup>4</sup> represents an alkyl, preferably a methyl or ethyl, group, or –NR<sup>5</sup>R<sup>6</sup>, wherein R<sup>5</sup> and R<sup>6</sup> each independently represent H, alkyl, or aryl, and preferably R<sup>5</sup> is H and R<sup>6</sup> is phenyl

which comprises

a) cyanating a compound of formula (1):

wherein Y represents a halo group, preferably CI or Br;  $P^1$  represents a protecting group, and W represents =0 or  $-OP^2$ , in which  $P^2$  represents a protecting group, to give a compound of formula (2):

b) reducing the compound of formula (2) to give a compound of formula (3):

c) coupling the compound of formula (3) with a compound of formula (4):

$$X$$
 $R^1$ 
 $R^2$ 
 $R^3$ 

25 to give a compound of formula (5):

d) when W represents -OP<sup>2</sup>, deprotecting and then oxidising the compound of formula (5) to give a compound of formula (6):

5 and

e) subjecting the compound of formula (5) when W represents =O, or compound of formula (6) to ring-opening, and removal of any remaining protecting groups, to give a compound of formula (7):

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2. A process for the preparation of a compound of formula (2):

which comprises cyanating a compound of formula (1):

wherein Y represents a halo group, preferably CI or Br; P<sup>1</sup> represents a protecting group, and W represents =O or –OP<sup>2</sup>, in which P<sup>2</sup> represents a protecting group.

3. A process for the preparation of a compound of formula (3):

which comprises reduction of a compound of formula (2):

wherein  $P^1$  represents a protecting group, and W represents =0 or  $-OP^2$ , in which  $P^2$  represents a protecting group.

5 4. A process for the preparation of a compound of formula (5):

which comprises coupling the compound of formula (3):

with a compound of formula (4):

wherein

R<sup>1</sup> represents an alkyl group, such as a C<sub>1-6</sub> alkyl group, and preferably an isopropyl group;

15 R<sup>2</sup> represents an aryl group, preferably a phenyl group;

R³ represents an aryl group, preferably a 4-fluorophenyl group;

X a group of formula –COZ, wherein Z represents -OR<sup>4</sup>, in which R<sup>4</sup> represents an alkyl, preferably a methyl or ethyl, group, or –NR<sup>5</sup>R<sup>6</sup>, wherein R<sup>5</sup> and R<sup>6</sup> each independently represent H, alkyl, or aryl, and preferably R<sup>5</sup> is H and R<sup>6</sup> is phenyl;

20 P<sup>1</sup> represents a protecting group; and

W represents =0 or  $-OP^2$ , in which  $P^2$  represents a protecting group.

5. A compound of formula (2):

wherein  $P^1$  represents a protecting group, and W represents =O or  $-OP^2$ , in which  $P^2$  represents a protecting group.

## 6. A compound of formula (3):

wherein  $P^1$  represents a protecting group, and W represents =0 or  $-OP^2$ , in which  $P^2$  represents a protecting group.

## 7. A compound of formula (5):

wherein

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 $R^1$  represents an alkyl group, such as a  $C_{1-6}$  alkyl group, and preferably an isopropyl group;

R<sup>2</sup> represents an aryl group, preferably a phenyl group;

15 R<sup>3</sup> represents an aryl group, preferably a 4-fluorophenyl group;

X a group of formula –COZ, wherein Z represents -OR $^4$ , in which R $^4$  represents an alkyl, preferably a methyl or ethyl, group, or –NR $^5$ R $^6$ , wherein R $^5$  and R $^6$  each independently represent H, alkyl, or aryl, and preferably R $^5$  is H and R $^6$  is phenyl;

P¹ represents a protecting group; and

W represents –OP<sup>2</sup>, in which P<sup>2</sup> represents a protecting group.

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